



1204.41259X00

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1765
cc

Applicant: T. UCHIDA, et al.

Application No.: 10/069,404

Filed: MAY 6, 2002

For: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL
POLISHING, AND POLISHING METHOD

Group AU: 1765

Examiner: Lynette T. Umez Eronini

Confirm. No: 3597

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Mail Stop: AMEND – NO FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

September 27, 2006

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action issued on June 27, 2006, in connection with a counterpart Japanese application (No. 2001-520456), together with an English translation thereof. Note that of the documents cited in this enclosed Official Action, all except Japanese Patent Application Laid-Open No. 11-195628 and Japanese Patent Publication No. 3337464 were cited in the Information Disclosure Statement dated June 27, 2006, in the above-identified application. No. 11-195628 and No. 3337464 were respectively submitted in the Information Disclosure Statements dated September 22, 2005, and March 13, 2006 in the above-identified application.

Further enclosed herewith please find an English translation of the Notification issued March 28, 2006, in connection with the above-identified application, such Notification (in Japanese) having previously been submitted herein with the Information Disclosure Statement submitted June 27, 2006. Also enclosed herewith

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are partial English translations of the following documents cited in this Notification
issued March 28, 2006:

1. Japanese Patent Application Laid-Open No. 11-195628;
2. Japanese Patent Application Laid-Open No. 11-21546;
3. Japanese Patent Application Laid-Open No. 11-116942;
4. Japanese Patent Application Laid-Open No. 9-55363;
5. Japanese Patent Application Laid-Open No. 10-168431;
6. Japanese Patent Application Laid-Open No. 10-152673;
7. Japanese Patent Application Laid-Open No. 5-104436;
8. Japanese Patent Application Laid-Open No. 9-194823;
9. Japanese Patent Application Laid-Open No. 9-63996;
10. Japanese Patent Application Laid-Open No. 10-321566;
11. Japanese Patent Application Laid-Open No. 2000-49124; and
12. International Patent Publication No. WO 00/13217.

Also enclosed is a Form PTO/SB/08A, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to a
Final Office Action in the above-identified application. For satisfying requirements of
37 CFR 1.97(d), the fee under 37 CFR 1.17 (p) is enclosed, and the following
Statement is made under 37 CFR 1.97(e):

Each item of information contained in this
Information Disclosure Statement was first cited in
any communication from a foreign patent office in a
counterpart foreign application not more than three
months prior to the filing of this Information
Disclosure Statement.

In this regard, note that the English translations have recently been provided.

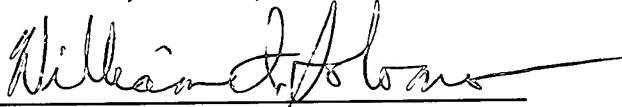
To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied by the English translation of the Official Action issued on June 27, 2006.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Kindly charge any shortage in fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case 1204.41259X00), and credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

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PTO/SB/08A (08-03)
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Substitute for form 1449A/PTO			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
September 27, 2006			
(use as many sheets as necessary)			
Sheet	1	of	1
Complete if Known			
Application Number		10/069,404	
Filing Date		05/06/2002	
First Named Inventor		T. UCHIDA, et al.	
Art Unit		1765	
Examiner Name		Lynette T. Umez Eronini	
Attorney Docket Number		1204.41259X00	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Official Action (Notification for Reasons for Refusal); issued June 27, 2006, for JP 2001-520456.	T
		Notification, issued March 28, 2006, for JP 2001-520456.	T
		JP 11-195628	P-only
		JP 11-21546	P-only
		JP 11-116942	P-only
		JP 9-55636	P-only
		JP 10-168431	P-only
		JP 10-152673	P-only
		JP 5-104436	P-only
		JP 9-194823	P-only
		JP 9-63996	P-only
		JP 10-321566	P-only
		JP 2000-49124	P-only
		WO 00-13217	P-only
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.